Nuclear Regulatory Commission

- 40.9 Completeness and accuracy of information.
- 40.10 Deliberate misconduct.

EXEMPTIONS

- 40.11 Persons using source material under certain Department of Energy and Nuclear Regulatory Commission contracts.
- 40.12 Carriers.
- 40.13 Unimportant quantities of source material.
- 40.14 Specific exemptions.

GENERAL LICENSES

- 40.20 Types of licenses.
- 40.21 General license to receive title to source or byproduct material.
- 40.22 Small quantities of source material.
- 40.23 General license for carriers of transient shipments of natural uranium other than in the form of ore or ore res-
- 40.24 [Reserved]
- 40.25 General license for use of certain industrial products or devices.
- 40.26 General license for possession and storage of byproduct material as defined in this part.
- 40.27 General license for custody and longterm care of residual radioactive material disposal sites.
- 40.28 General license for custody and longterm care of uranium or thorium byproduct materials disposal sites.

LICENSE APPLICATIONS

- 40.31 Application for specific licenses.
- 40.32 General requirements for issuance of specific licenses.
- 40.33 Issuance of a license for a uranium enrichment facility.
- 40.34 Special requirements for issuance of
- specific licenses.
 40.35 Conditions of specific licenses issued
- pursuant to §40.34. 40.36 Financial assurance and recordkeeping for decommissioning.
- 40.38 Ineligibility of certain applicants.

LICENSES

- 40.41 Terms and conditions of licenses.
- 40.42 Expiration and termination of licenses and decommissioning of sites and separate buildings or outdoor areas.
- 40.43 Renewal of licenses.
- 40.44 Amendment of licenses at request of licensee.
- 40.45 Commission action on applications to renew or amend.
- 40.46 Inalienability of licenses.

TRANSFER OF SOURCE MATERIAL

40.51 Transfer of source or byproduct material.

RECORDS, REPORTS, AND INSPECTIONS

- 40.60 Reporting requirements.
- 40.61 Records.
- 40.62 Inspections.
- 40.63 Tests.
- 40.64 Reports.
- 40.65 Effluent monitoring reporting requirements.
- 40.66 Requirements for advance notice of export shipments of natural uranium.
- 40.67 Requirement for advance notice for importation of natural uranium from countries that are not party to the Convention on the Physical Protection of Nuclear Material.

MODIFICATION AND REVOCATION OF LICENSES

40.71 Modification and revocation of licenses.

ENFORCEMENT

- 40.81 Violations.
- 40.82 Criminal penalties.
- APPENDIX A TO PART 40—CRITERIA RELATING
 TO THE OPERATION OF URANIUM MILLS AND
 THE DISPOSITION OF TAILINGS OR WASTES
 PRODUCED BY THE EXTRACTION OR CONCENTRATION OF SOURCE MATERIAL FROM
 ORES PROCESSED PRIMARILY FROM THEIR
 SOURCE MATERIAL CONTENT

AUTHORITY: Secs. 62, 63, 64, 65, 81, 161, 182, 183, 186, 68 Stat. 932, 933, 935, 948, 953, 954, 955, as amended, secs. 11e(2), 83, 84, Pub. L. 95-604, 92 Stat. 3033, as amended, 3039, sec. 234, 83 Stat. 444, as amended (42 U.S.C. 2014(e)(2), 2092, 2093, 2094, 2095, 2111, 2113, 2114, 2201, 2232, 2233, 2236, 2282); sec. 274, Pub. L. 86-373, 73 Stat. 688 (42 U.S.C. 2021); secs. 201, as amended, 202, 206, 88 Stat. 1242, as amended, 1244, 1246 (42 U.S.C. 5841, 5842, 5846); sec. 275, 92 Stat. 3021, as amended by Pub. L. 97-415, 96 Stat. 2067 (42 U.S.C. 2022); sec. 193, 104 Stat. 2835, as amended by Pub. L. 104-134, 110 Stat. 1321, 1321-349 (42 U.S.C. 2243); sec. 1704, 112 Stat. 2750 (44 U.S.C. 3504 note).

Section 40.7 also issued under Pub. L. 95–601, sec. 10, 92 Stat. 2951 (42 U.S.C. 5851). Section 40.31(g) also issued under sec. 122, 68 Stat. 939 (42 U.S.C. 2152). Section 40.46 also issued under sec. 184, 68 Stat. 954, as amended (42 U.S.C. 2234). Section 40.71 also issued under sec. 187, 68 Stat. 955 (42 U.S.C. 2237).

SOURCE: 26 FR 284, Jan. 14, 1961, unless otherwise noted.

GENERAL PROVISIONS

§ 40.1 Purpose.

(a) The regulations in this part establish procedures and criteria for the issuance of licenses to receive title to, receive, possess, use, transfer, or deliver source and byproduct materials,

§40.2

as defined in this part, and establish and provide for the terms and conditions upon which the Commission will issue such licenses. (Additional requirements applicable to natural and depleted uranium at enrichment facilities are set forth in §70.22 of this chapter.) These regulations also provide for the disposal of byproduct material and for the long-term care and custody of byproduct material and residual radioactive material. The regulations in this part also establish certain requirements for the physical protection of import, export, and transient shipments of natural uranium. (Additional requirements applicable to the import and export of natural uranium are set forth in part 110 of this chapter.)

(b) The regulations contained in this part are issued under the Atomic Energy Act of 1954, as amended (68 Stat. 919), title II of the Energy Reorganization Act of 1974, as amended (88 Stat. 1242), and titles I and II of the Uranium Mill Tailings Radiation Control Act of 1978, as amended (42 U.S.C. 7901).

[55 FR 45597, Oct. 30, 1990, as amended at 56 FR 55997, Oct. 31, 1991]

§ 40.2 Scope.

Except as provided in §§ 40.11 to 40.14, inclusive, the regulations in this part apply to all persons in the United States. This part also gives notice to all persons who knowingly provide to any licensee, applicant, contractor, or subcontractor, components, equipment, materials, or other goods or services, that relate to a licensee's or applicant's activities subject to this part, that they may be individually subject to NRC enforcement action for violation of § 40.10.

[63 FR 1896, Jan. 13, 1998]

§ 40.2a Coverage of inactive tailings sites.

(a) Prior to the completion of the remedial action, the Commission will not require a license pursuant to 10 CFR chapter I for possession of residual radioactive materials as defined in this part that are located at a site where milling operations are no longer active, if the site is covered by the remedial action program of title I of the Uranium Mill Tailings Radiation Con-

trol Act of 1978, as amended. The Commission will exert its regulatory role in remedial actions primarily through concurrence and consultation in the execution of the remedial action pursuant to title I of the Uranium Mill Tailings Radiation Control Act of 1978, as amended. After remedial actions are completed, the Commission will license the long-term care of sites, where residual radioactive materials are disposed, under the requirements set out in § 40.27.

(b) The Commission will regulate byproduct material as defined in this part that is located at a site where milling operations are no longer active, if such site is not covered by the remedial action program of title I of the Uranium Mill Tailings Radiation Control Act of 1978. The criteria in appendix A of this part will be applied to such sites.

 $[45 \ FR \ 65531, \ Oct. \ 3, \ 1980, \ as \ amended \ at \ 55 \ FR \ 45598, \ Oct. \ 30, \ 1990]$

§ 40.3 License requirements.

A person subject to the regulations in this part may not receive title to, own, receive, possess, use, transfer, provide for long-term care, deliver or dispose of byproduct material or residual radioactive material as defined in this part or any source material after removal from its place of deposit in nature, unless authorized in a specific or general license issued by the Commission under the regulations in this part.

[55 FR 45598, Oct. 30, 1990]

§ 40.4 Definitions.

Act means the Atomic Energy Act of 1954 (68 Stat. 919), including any amendments thereto;

Agreement State means any State with which the Atomic Energy Commission or the Nuclear Regulatory Commission has entered into an effective agreement under subsection 274b. of the Atomic Energy Act of 1954, as amended.

Alert means events may occur, are in progress, or have occurred that could lead to a release of radioactive material but that the release is not expected to require a response by offsite response organizations to protect persons offsite.